Employee Post-Travel Disclosure of Travel Expenses

RECEIVED
SEGRETARY OF THE SENATE
PUBLIC RECORDS

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

2019 MAY 14 PM 4:00

| In compliance with Rube reimbursed/paid for | • | _ | osures with respect to t | ravel expenses that have been o | or wi |
|---|---|--|---------------------------|--|--------|
| A copy of the Priva | te Sponsor Travel Cer | rization (Form RE-1), strification Form with all | attachments (itinerary | , invitee list, etc.) | |
| Private Sponsor(s) (list | all): Massachusett | ts Institute of Techn | ology | | |
| Travel date(s): April 1 | 7, 2019 - April 19, | 2019 | <u>_</u> <u>_</u> | | |
| | Sing DID NOT INCR | Child EASE DUE TO THE ACC | | E OR DEPENDENT CHILD, ON | LY |
| INCLUDE LODGING C Expenses for Employe | | EXPENSES. (Attach addi | tional pages if necessary | .) | |
| | Transportation Expenses | Lodging Expenses | Meal Expenses | Other Expenses (Amount & Description) | |
| ☑ Good Faith Estimate □ Actual Amount | Total: \$510.00 \$350.00 (airfare) \$80.00 (bus trans. in MA) \$80 for roundtrip trans. to/from DC | Total: \$398.00 | Total: \$231.00 | \$0 | |
| Expenses for Accomp | airport. | pendent Child (if appli | cable). | | |
| Expenses for Accomp | Transportation | Lodging Expenses | Meal Expenses | Other Expenses | ٦ |
| | Expenses | | | (Amount & Description) | |
| ☐ Good Faith Estimate | | | | | |
| ☐ Actual Amount | | | | | : |
| Provide a description necessary.): Please | of all meetings and even | ents attended. See Senanda. | te Rule 35.2(c)(6). (A | ttach additional pages if | |
| D D D 5/42/2040 | | | CARUSO.F HAEL.127 | PHILIP.MIC Digitally signed by CARUSO.PHILIP.MICHAEL.1 | |
| ©5/13/2019 (Date) | Philip Caruso | name of traveler) | | Date: 2019.05.13 10:16:55 - | 04'00' |
| TO BE COMPLETED | | • | • | (Signature of traveler) | |
| I have made a determin | nation that the expense | | ections with travel desc | cribed in the <i>Employee Pre-Tro</i> in Rule 35. | avel |
| 5/13/2019 | | | Kall (| Men C | |
| (Date) | | | (Signature of Super | viling Senator/Officer | |
| (Revised 1/3/11) | | | | C Form R | E-2 |

20th MIT Congressional and Executive Branch Staff Seminar April 17-19, 2019 AGENDA

REGIONS AND RIVALS: AMERICAN STRATEGY IN A TIME OF UNCERTAINTY

| Wednesday | , April 17 | 7 |
|-----------|------------|---|
|-----------|------------|---|

8:30am American Airlines flight 2169 from Washington Reagan

National Airport to Boston Logan Airport

10:15/10:30am

James Wynn to meet group. Take bus to Hyatt Regency

Hotel Cambridge to drop off luggage

Bus departs from hotel to MIT Samberg Conference

11:15 to 11:30am Center

Registration

Noon to 2:00pm Welcome lunch with keynote speaker Kathleen Hicks,

Senior Vice President, Henry A. Kissinger Chair, Director, International Security Program, Center for

Strategic and International Studies (CSIS)

Dr. Hicks will discuss the relationship between defense resources and the security projects in far regions of the

world and how the Pentagon plans for such operations.

2:15 to 3:45pm Panel 1: U.S. Grand Strategy

Barry R. Posen, Director, MIT Security Studies Program and Ford International Professor of Political Science

Stephen Brooks, Professor of Government, Dartmouth

College

Stephen Van Evera, MIT Ford International Professor of

Political Science

This panel will focus on the US global strategy and how it can interpret its interests in various corners of the

globe.

3:45 to 4:00pm

Break

Panel 2: The Military Competition in Asia 4:00 to 5:30pm

Owen R. Cote, Associate Director, MIT Security Studies

Program

Eric Heginbotham, MIT Principal Research Scientist

These speakers will focus on the nature, scope, and pace of the China military challenge that the US is

facing.

7:00 to 9:00pm Reception at the MIT Museum - Working event for

seminar participants to engage with MIT Security Studies

professors, panel participants, and Security Studies

Program graduate students

Thursday, April 18

7:30 to 8:30am Breakfast at the Hyatt Regency

8:45am Travel to Lincoln Laboratory, 244 Wood Street, Lexington,

MA

10:00am to 12:45pm Lab Overview and Tours:

MIT Lincoln Laboratory Overview; Dr. Israel Soibelman,

Assistant to the Director for Strategic Initiatives

Dr. Soibelman will talk about the MIT Lincoln Laboratory and how it researches and develops advanced technologies to meet critical national security needs. What sets us apart from many national R&D laboratories is an emphasis on building operational prototypes of the systems we design.

Laboratory Tours (2 groups)

Led by: Dr. Israel Soibelman, Assistant to the Director for Strategic Initiatives / John E. Kuconis, Executive Officer

Group 1 - Microelectronics Lab (ML-202)

Daniel E. Pulver, Manager, Microelectronic Laboratory & the Advanced Technology Division Quality Management System

Daniel Pulver will describe the Microelectronics Laboratoryn dhow it is a state-of-the-art semiconductor research and fabrication facility that supports the design, fabrication, and packaging of novel devices.

Group 2 - Wide Area Persistent Surveillance (\$1-761) - Peter Boettcher, Assistant Group Leader, Integrated System: & Concepts

The group will learn about wide area persistent surveillance and how the sensor system detects all moving objects at long range in the ground or air, and marks the objects on a continuously updating real-time display.

1:00pm to 2:00pm

Working Lunch, presentations to continue through lunch on the below topics.

Beaver Works Overview; Joel Grimm, ISR & Tactical Systems

The group will hear about how the MIT Lincoln Laboratory Beaver Works Center (Lincoln Beaver Works) conducts research and educational programs that strengthen and expand collaborative efforts between Lincoln Laboratory and MIT campus.

Lunar Laser Communications Demonstration; Dr. Bryan Robinson, Associate Group Leader of the Optical Communications Technology Group

The group will see a demonstration of a low-cost, high-performance airborne laser communications terminal and learn about the development of a test capability to ensure interoperability among terminals.

3D Imaging Laser Radar; Dr. M. Jalal Khan, Group Leader, Active Optical Systems

Dr. Khan will explain his work in giving 3D imaging to radar and how these systems help provide visual updates.

2:00pm

Travel to MIT Campus, Koch Institute

3:15 to 4:45pm

Panel 3: Europe and the Recovery of Russian Power Carol Saivetz, Senior Advisor, MIT Security Studies Program

Josh Shifrinson, Assistant Professor, Pardee School of Global Studies, Boston University

Jim Walsh, Senior Research Associate, MIT Security Studies Program

Regional emphasis on Russia, NATO allies, and the JCPOA as relates to US European allies.

Reception, Hyatt Regency Cambridge, Informal discussions with MIT Professors, Panel speakers, and MIT Security Studie Program graduate students

Dinner, Hyatt Regency Cambridge, keynote speaker Karl Eikenberry, Director, U.S.-Asia Security Initiative, Stanford University

The talk will detail an overview of the competition in Asia and the key actors that the US develop policy around.

Friday, April 19

6:00 to 7:00pm

7:00 to 9:00pm

7:30 to 8:30am

Breakfast at the Hyatt Regency

8:45am

Bus to MIT Security Studies Program, Lucian Pye Room,

4th floor, 1 Amherst Street, Cambridge

9:00am to 11am

Panel 4: The "Indo Pacific"

Taylor Fravel, MIT Arthur and Ruth Sloan Professor of Political Science

Vipin Narang, MIT Associate Professor of Political Science

Richard Samuels, Director, MIT Center for International Studies and Ford International Professor of Political Science

These speakers will address China, India, and Japan and how their complicated cross relationships impact American strategy across the region.

11:00 to 11:15am Break

11:15am to 12:45pm Panel 5: The Greater Middle East and the Global War on

Terror

Richard Nielsen, MIT Associate Professor of Political Science

Roger Petersen, MIT Arthur and Ruth Sloan Professor of Political Science

| | Kelly Greenhill, Associate Professor & Director, International Relations Program, Tufts University |
|--------|--|
| | This panel will focus on the resilience of jihadi networks, the state of play in Iraq and Syria, and the political effects of Refugee flows. |
| 1:00pm | Working Lunch with Closing Remarks by Dr. Posen, a recount of how the seminar's themes collectively fit into American foreign policy and defense planning followed by Q&A session for the participants to focus on the topics encountered during this seminar. |
| 2:00pm | Travel from SSP to Logan Airport |
| 4:00pm | American Airlines flight 2119 to Reagan National Airport |

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed:

| | 1. | Sponsor(s) of the trip (please-list-all-sponsors): |
|--------------------|----|---|
| | | Technology |
| | | |
| | 2 | - Description-of-the-trip: Educational seminar over 3 days for Congressional and Executive Branch Staff |
| | | on defense and foreign policy issues by faculty and a briefing on technology issues at MIT Lincoln Lab. |
| | 3. | Dates of travel: April 17-19, 2019 |
| | 4. | Place of travel: Cambridge, MA and Lexington, MA |
| | 5. | Name and title of Senate invitees: Please see attached list. |
| | 6. | I certify that the trip fits one of the following categories: |
| | | (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal <u>and</u> do not retain or employ registered lobbyists or agents of a foreign principal <u>and</u> no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip. |
| ند. آ را | | (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9). |
| ก -1 -ว | 7. | I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal. |
| () () | | AND |
| | | I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal. |
| :) :) | 8. | I certify that: |
| | | The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for de minimis lobbyist involvement. |
| | | The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9). |

| | 9. | USE ONLY IF YOU CHECKED QUESTION 6(B) I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies: |
|-------------|-----|---|
| | | (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip. |
| | | (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10). |
| | | (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) |
| | | of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip. |
| •- | 10. | USE ONLY IF YOU CHECKED QUESTION 9(B) |
| | | <u>If the trip includes two overnight-stays, please explain why the second night is practically required for</u> Senate invitees to participate in the travel: |
| | | |
| | | |
| | | |
| | | |
| | 11. | An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip. |
| | 12. | Briefly describe the role of each sponsor in organizing and conducting the trip: |
| | | The MIT Security Studies Program's role in this trip is to plan and execute all aspects of the trip. |
| | | MIT faculty create the agenda and topics, ensuring that the topics are relevant to current events as they |
| t | | relate to US foreign policy and defense interests for the staffers. |
|) | 13. | Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission: |
| ,)) | | The Massachusetts Institute of Technology is a major non-profit research university with a mission focus |
| | | of education and research. MIT sponsors this trip as a public service to educate staff in important |
| | | public policy areas. |
| ,) } | 14. | Briefly describe each sponsor's prior history of sponsoring congressional trips: |
| • | | MIT Security Studies Program most recently sponsored a Congressional trip in April 2017. The topics |
| | | focused on foreign policy and defense related issues, with the purpose of educating US Senate |
| | | and US House staffers, and to offer them the chance to interact with MIT faculty on these topics. |
| | | |

| | SSP holds several se | eminars for MIT students | s and some that are | open to the public. SSF | o hosts a wee |
|-----|---|--|--|--|---------------------------------------|
| | seminar series (open | to public) along with so | me special and annu | al seminars for the SS | P community |
| | MIT alumni. | | | | |
| 16. | Total Expenses for Each Participant: | | | | |
| | | Transportation Expenses | | Meal | Other |
| | | Total: \$510.00 | Total: \$398.00 | Total: \$231.00 | \$0 |
| | Good Faith estimate | \$350.00 (airfare) \$80.00 (bus trans | | | |
| | Actual Amounts | in MA) \$80 for roundtrip trans. to/from DC airport. | | | |
| 17. | State whether a) the | trip involves an event th | at is agranged or orga | | · · · · · · · · · · · · · · · · · · · |
| | congressional partici | e trip involves an event pation: | that is arranged or or | ganized specifically w | ith regard to |
| | congressional partici | e trip involves an event | that is arranged or or | ganized specifically w | ith regard to |
| 18. | The trip involves an exparticipation. | e trip involves an event pation: | that is arranged or or or organized specifical | ganized specifically w | ith regard to |
| 18. | The trip involves an exparticipation. Reason for selecting | e trip involves an event pation: event that is arranged or | that is arranged or or or organized specifical or trip | ganized specifically will be with regard to congre | ith regard to |
| 18. | The trip involves an exparticipation. Reason for selecting The trip is held on Mi | e trip involves an event pation: event that is arranged or the location of the event | organized specifical or trip ge, MA and the locat | y with regard to congre | ith regard to |
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| | The trip involves an expandicipation. Reason for selecting The trip is held on Millor of Millor faculty and regulation of Myatt Regency Camb | e trip involves an event pation: event that is arranged or the location of the event T's campus in Cambridge, gionally-based experts, f hotel or other lodging pridge, 575 Memorial Dr | that is arranged or or organized specifical or trip ge, MA and the locate and use of MIT facility: facility: ive, Cambridge, MA | y with regard to congression enables the extensies, in the program. | ith regard to |
| 19. | The trip involves an exparticipation. Reason for selecting The trip is held on Millor of Millor faculty and regular and location of Hyatt Regency Camb | e trip involves an event pation: event that is arranged or the location of the event of the experts, and the event of the | that is arranged or or organized specifical or trip ge, MA and the location and use of MIT facility facility: ive, Cambridge, MA | ganized specifically will be with regard to congression enables the extensies, in the program. | essional |

| 21. | Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel: |
|-----|---|
| | Due to high catering costs in Cambridge we had to exceed the per diem rate by \$9 a day for two days. The |
| | lodging expenses were less than per diem rate. Total lodging and meal expenses are less than the total |
| | lodging and meal per diem rate. |
| 22. | Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary: |
| | Coach class round-trip airfare on American Airlines service between Washington, DC and Boston, MA. |
| | The bus that will shuffle staff between the airport, hotel to MIT and to Lincoln Lab is also coach class. |
| 23. | I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures-for-recreational-activities, alcohol, or entertainment (other than entertainment provided to |
| | all attendees as an integral part of the event, as permissible under Senate Rule 35). |
| 24. | List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event: |
| | None. |
| 25. | I hereby certify that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you must include a completed signature page for each additional sponsor): Signature of Travel Sponsor: |
| | Name and Title: Barry Posen, Director, MIT Security Studies Program |
| | Name of Organization: Massachusetts Institute of Technology |
| | 77 Massachusetts Avenue, E40-463, Cambridge, MA 02139 |
| | Telephone Number: 617-258-6531 - Point of Contact for event - James Wynn |
| | Fax Number: 617-258-7858 |
| | E-mail Address: posen@mit.edu - Point of Contact for event - James Wynn - jpwynn@mit.edu |

Select Committee on Ethics United States Senate Washington, DC 20510

March 11, 2019

Re: The 19th annual Seminar for Senior Congressional and Executive Branch Staff, to be held on the MIT campus April 17-19, 2019.

MIT has organized, hosted and provided its faculty as presenters for a science and technology seminar for over 20 years.

MIT receives regular gifts from The Frankel Foundation, and has established the Frankel (MIT) Global Policy Program to support the MIT Security Studies Program (SSP). The purpose of the Program is to increase the knowledge and understanding of U.S. government leaders through use of information developed by members of MIT's SSP. Approval to use these program funds for the 2019 Senior Congressional & Executive Branch Seminar was given by the Frankel Foundation in 2018. The Frankel Foundation plays no role in organizing and/or conducting the trip. One or two of its members attend the seminar as observers.

The Frankel Foundation does not employ or retain a registered federal lobbyist or foreign agent.

I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:

Name: BELINDA FRANKEL

Title: PRESIDENT, FRANKEL FOUNDATION

Address: 6457 LANDING NECK RD EASTON MD 21601

Telephone number: 410 820 9515

Email: Belindakanegmail. Cau.